





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): John B. Beavers

Assignee: Symantec Corporation

Title: SYSTEM AND METHOD FOR MANAGING ALERT INDICATIONS

IN AN ENTERPRISE

Serial No.: 10/082,235

Filed:

February 26, 2002

Examiner:

Unknown

Group Art

2133

Unit:

Docket No.:

SYMC1024

Monterey, CA June 16, 2004

RECEIVED

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

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Technology Center 2100

INFORMATION DISCLOSURE STATEMENT UNDER §1.97(b)

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant(s) wish to call the following documents (a copy of each is enclosed) to the attention of the Examiner.

U.S. PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	NAME
1)	5,893,083	04/06/99	Eshghi et al.
2)	6,266,773 B1	07/24/01	Kisor et al.
3)	6,298,445 B1	10/02/01	Shostack et al.
4)	6,321,338 B1	11/20/01	Porras et al.
5)	6,484,203 B1	11/19/02	Porras et al.

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18)	Sinclair, C., Pierce, L., and Matzner, S., "An Application of Machine Learning to Network Intrusion Detection", The University of Texas at Austin, Austin, TX, pp. 1-7.
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20)	Kobi, H., "Beyond SNMP: The Benefits of Collecting Network Event Logs", Technical White Paper, Network Intelligence® Corporation, Walpole, MA, pp. 1-10, June 2002.
21)	Harp, S., Geib, C., Goldman, R., Heimerdinger, W., Thomas, V., and R.A. Kemmerer Associates, "Argus: An Architecture for Cooperating Intrusion Detection and Mitigation Applications", Honeywell Technology Center, 18 pgs.
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25)	"HP OpenView Communications Event Correlation Services Developer's Guide and Reference", Hewlett-Packard Company, Fort Collins, CO, pp. 1-150, April 2001.
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27)	"HP OpenView Communications Event Correlation Services Administrator's Guide", Hewlett-Packard Company, Fort Collins, CO, pp. 1-121, April 2001.
28)	Beavers, J., U.S. Patent Application Serial No. 10/080,574, filed on February 25, 2002, entitled "SYSTEM AND METHOD FOR TRACKING AND FILTERING ALERTS IN AN ENTERPRISE AND GENERATING ALERT INDICATIONS AND ANALYSIS", 49 pgs.
29)	Beavers, J., U.S. Patent Application Serial No. 10/800,059, filed on March 12, 2004, entitled "METHOD AND STRUCTURES FOR PREPARING GENERIC RULES FOR A SECURITY RULES PROCESSOR", 49 pgs.

A PTO form 1449 listing these documents is enclosed. Citation of the above documents shall not be construed as:

- 1. an admission that the documents are necessarily prior art with respect to the instant invention;
- 2. a representation that a search has been made, other than as described above; or

3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

The Commissioner is hereby authorized to charge any fees required for consideration of this Information Disclosure Statement, and to credit any overpayment of fees to Deposit Account No. 50-0553.

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on <u>June 16, 2004</u>.

Attorney for Applicant(s)

June 16, 2004

Date of Signature

Respectfully submitted,

Forrest Gunnison

Attorney for Applicant(s)

Reg. No. 32,899

(831) 655-0880

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	AR	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Beavers, J., U.S. Patent Application Serial No. 10/080,574, filed on February 25, 2002, entitled "SYSTEM AND METHOD FOR TRACKING AND FILTERING ALERTS IN AN ENTERPRISE AND GENERATING ALERT INDICATIONS AND ANALYSIS", 49 pqs.								
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s)										